



UNITED STATES PATENT AND TRADEMARK OFFICE



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/865,066	05/24/2001	Clive Hoggart	9229.00

CONFIRMATION NO. 6693

FORMALITIES LETTER



OC000000006339857

Intellectual Property Section
Law Department
NCR Corporation
101 West Schantz, ECD-2
Dayton, OH 45479-0001

Date Mailed: 07/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/08/2001 AZERGAW1 00000078 140225 09865066

01 FC:105 130.00 CH



2123

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. 9229.00

**CLAIM FOR BENEFIT OF
EARLIER-FILED FOREIGN
APPLICATION**

Application of

Clive Hoggart et al.

Confirmation No.: 6693

Serial No. 09/865,066

Group Art Unit: 2123


Filed: May 24, 2001

Examiner: Unknown

**FOR: METHOD AND APPARATUS FOR PREDICTING WHETHER
A SPECIFIED EVENT WILL OCCUR AFTER A SPECIFIED
TRIGGER EVENT HAS OCCURRED**

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on ~~AUG 03 2001~~ (Date of Deposit).


Shirley Doll

RECEIVED

Assistant Commissioner for Patents

Washington, D.C. 20231

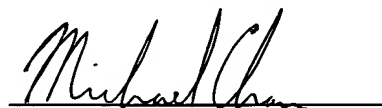
AUG 09 2001

Technology Center 2100

Sir:

Applicants wish to claim the benefit of the filing date of the earlier G.B. Application Serial No. 0013010.4, filed on May 26, 2000, recited in the Declaration under the provision of 35 U.S.C. 119, and accordingly, Applicants submit herewith a certified copy of said application.

Respectfully submitted,



Michael Chan
Reg. No. 33,663
Attorney for Applicant(s)

NCR Corporation, Law Department, WHQ5E
1700 S. Patterson Blvd., Dayton, OH 45479-0001
Tel. No. 937-445-4956/Fax No. 937-445-3733



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

sector
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Docket No. 9229.00

RESPONSE TO
NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION
FILING DATE GRANTED

Application of

Clive Hoggart et al.

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AUG 03 2001 (Date of Deposit).

Shirley Doll

Shirley Doll

Assistant Commissioner for Patents

Washington, D.C. 20231

Box Missing Part

Sir:

In response to the above-named Notice dated **July 25, 2001**, relative to the above-named application, Applicant hereby submits signed formal application papers and an assignment for recording to complete the file and authorizes the following fees from NCR Deposit Account No. **14-0225**:

1. Surcharge for Incomplete Application	\$130.00
2. Assignment and Recording Fee	<u>40.00</u>
Total Charge	\$170.00

Please charge any deficiency or credit any overpayment of fees to NCR Deposit Account No. 14-0225.

A copy of said Notice is included herewith.

Examination on the merits is respectfully requested.

Respectfully submitted,

Michael Chan

Michael Chan

Reg. No. 33,663

Attorney for Applicant(s)

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